



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James A. Shayman

Application No.: 10/044,869 Group Art Unit: 1626

Filed: January 10, 2002 Examiner: Coppins, Janet L.

Confirmation No.: 9824

Title: AMINO CERAMIDE-LIKE COMPOUNDS AND THERAPEUTIC METHODS OF USE

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
10-17-05	<i>Jennifer Torpey</i>
Date	Signature
Typed or printed name of person signing certificate	

*Jennifer Torpey*

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

This Supplemental Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or  
 (First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

under 37 CFR 1.97(c) together with either:

a Statement under 37 CFR 1.97(e), as checked below, or

a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with:

a Statement under 37 CFR 1.97(e), as checked below, and

a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application file.

(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- [ ] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- [ ] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

(Applies to original applications (other than design) filed on or after May 29, 2000)

- [ ] Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

[ X ] Enclosed herewith is form PTO-1449:

[ X ] Copies of the cited references [B1, B2, C20-C22] are enclosed.

[ X ] Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.

[ ] Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]

[ ] The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

[ X ] The "concise explanation" requirement (non-English references) for reference(s) [B2] under 37 CFR 1.98(a)(3) is satisfied by:

[ ] the explanation provided on the attached sheet.

[ ] the explanation provided in the Specification.

[ ] submission of the enclosed International Search Report.

[ ] submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.

[ X ] the enclosed English language abstract, submitted as Reference C22.

[ ] Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

Examiner

Date

- [ ] A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- [ ] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- [ ] A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- [ ] Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- [X] Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

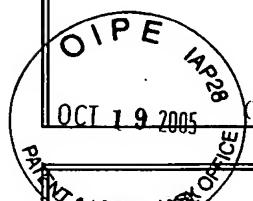
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Dated: 10/17/05

PTO-1449 REPRODUCED  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  October 17, 2005  (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3646.1001-002	APPLICATION NO. 10/044,869
	FIRST NAMED INVENTOR James A. Shayman	FILING DATE January 10, 2002
	EXAMINER Janet L. Coppins	CONFIRMATION NO. 9824
		GROUP 1626



## **U.S. PATENT DOCUMENTS**

EXAMINER	DATE CONSIDERED
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PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 3646.1001-002	APPLICATION NO. 10/044,869	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>		FIRST NAMED INVENTOR James A. Shayman	FILING DATE January 10, 2002	
October 17, 2005 <i>(use several sheets if necessary)</i>		EXAMINER Janet L. Coppins	CONFIRMATION NO. 9824	GROUP 1626

*O I P E I A R B  
OCT 19 2005*

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES      NO
B1	WO 9710817	03-27-1997	The Regents of The University of Michigan	
B2	JP 10324671 A2	12-08-1998	Inokuchi, <i>et al.</i>	X
B3				
B4				
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O I P E IAP/BS	EXAMINER Janet L. Coppins	CONFIRMATION NO. 9824	GROUP 1626

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
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